

Receipt #4

FILE COPY

CERTIFICATE OF MAILING

I hereby certify that the below-listed are being deposited with the U.S. Postal Service as first class mail in an envelope addressed to:



Assistant Commissioner for Patents

Box:

Washington, D.C. 20231

on 2/21/01

Jeffrey R. Kuester

RECEIVED

MAY 24 2001

Technology Center 2100

In re application of:

Stephens, et al.

Art Unit: 2131

Serial No: 09/702,483

Filed: October 31, 2000

Docket No.: 191406-1010

For: **SYSTEM AND METHOD FOR SECURE MANAGEMENT OR
REMOTE SYSTEMS**

The following is a list of documents enclosed:

Return Postcard

Request for Correction of Filing Receipt

Copy of Original Filing Receipt

Copy of originally filed unsigned Declaration of Edwin Powali

CorrectFilingReceipt



#4

PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Art Unit: 2131

Stephens, et al.

Serial No: 09/702,483

Docket No.: 191406-1010

Filed: October 31, 2000

For: **SYSTEM AND METHOD FOR SECURE MANAGEMENT OR
REMOTE SYSTEMS**

RECEIVED

MAY 24 2001

REQUEST FOR CORRECTION TO THE FILING RECEIPT

Assistant Commissioner for Patents
Washington, D. C. 20231

Technology Center 2100

Sir:

Applicant hereby advises the Commissioner that the city and state for inventor Edwin Powali, is incorrectly identified as Hillsborough, CO. In addition, the priority information was not included.

Therefore, Applicant hereby requests that the city and state for inventor Edwin Powali be changed to Tampa, FL; and, the priority information be identified as: U.S. Provisional Patent Serial No. 60/211,399, filed June 14, 2000., and that a Corrected Filing Receipt be issued to the undersigned attorney.

Respectfully submitted,


Jeffrey R. Knester; Reg. No. 34,367
Attorney for Applicant

**THOMAS, KAYDEN, HORSTEMEYER
& RISLEY, L.L.P.**

100 Galleria Parkway, Suite 1750
Atlanta, Georgia 30339-5948

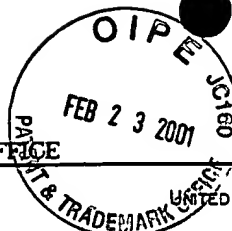
Date: 2/14/01

Customer No.: 24504

Docket: 191406-1010



UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/702,483	10/31/2000	2131	1348	191406-1010	18	51	4

24504
THOMAS, KAYDEN, HORSTEMEYER & RISLEY, LLP
100 GALLERIA PARKWAY, NW
STE 1750
ATLANTA, GA 30339-5948

FILING RECEIPT



OC000000005733784

Date Mailed: 02/05/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

RECEIVED

MAY 24 2001

Applicant(s)

Daniel Guy Stephens JR., St. Petersburg, FL ;
Edwin Powali, Hillsborough, CO ;
Stephen Lombard, St. Petersburg, FL ;

DOCKETED Technology Center 2100

Continuing Data as Claimed by Applicant

Foreign Applications

If Required, Foreign Filing License Granted 02/04/2001

Title

System and method for secure management or remote systems

HORSTEMEYER & RISLEY, LLP

FEB 08 2001

Preliminary Class

713

Data entry by : HARRISON, BRUCE

Team : OIPE

Date: 02/05/2001



LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

DECLARATION FOR PATENT APPLICATION

Attorney Docket No: 191406-1010

As the below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled _____, the specification of which:

☐ is attached hereto.

☒ was filed on October 31, 2000 as Application Serial No. 09/702,483.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim the benefit under Title 35, United States Code, §119 of any United States provisional patent application, foreign application(s) for patent or inventor's certificate listed below and have also identified below any United States provisional patent application, foreign application for patent or inventor's certificate having a filing date before that of the above-identified application on which priority is claimed: **U.S. Provisional Patent Serial No. 60/211,399, filed June 14, 2000.**

I hereby claim the benefit under Title 35, United States Code, §120 of any United States patent application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application: **NOT APPLICABLE.**

I hereby appoint the following attorneys/agents to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: George M. Thomas, Reg. No. 22,260; James W. Kayden, Reg. No. 31,532; Scott A. Horstemeyer, Reg. No. 34,183; Stephen R. Risley, Reg. No. 35,659; Jeffrey R. Kuester, Reg. No. 34,367; Daniel J. Santos, Reg. No. 40,158; Michael J. Tempel, Reg. No. 41,344; Daniel R. McClure, Reg. No. 38,962; Robert E. Stachler II, Reg. No. 36,934; David P. Kelley, Reg. No. 17,420; Reg. No. 41,344; David R. Risley, Reg. No. 39,345; Jon E. Holland, Reg. No. 41,077; Dan R. Gresham, Reg. No. 41,805; J. Scott Culpepper, Reg. No. 41,692; M. Paul Qualey, Reg. No. 43,024; Robert P. Biddle, Reg. No. 35,826; Robert A. Blaha, Reg. No. 43,502; Jennifer M. Gruber, Reg. No. 42,601; Raymond W. Armentrout, Reg. No. 45,866; Cynthia J. Lee, Reg. No. 46,033; N. Andrew Crain, Reg. No. 45,442; Monica H. Winghart, Reg. No. 46,790; Sami O. Malas, Reg. No. 44,893; Marianne H. Parker, Reg. No. 46,169; Eric M. Ringer, Reg. No. 47,028

I hereby declare under penalty of perjury under the laws of the United States of America that all statements made herein of my own knowledge are true and correct and that all statements made on information and belief are believed to be true and correct; and further that these statements were made with the knowledge that willful false statement and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Inventor's Signature: _____

(SECOND of THREE Inventors)

Date: _____

Full Name of Inventor: Edwin Powali

Residence: 16217 Sawgrass Circle Citizenship: US

Tampa, Florida 33624

Post Office Address: 16217 Sawgrass Circle

Tampa, Florida 33624

TWO Additional Separate Declarations Attached.

declar_powali

RECEIVED
MAY 24 2001
Technology Center 2100

#4



FILE COPY

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov



Bib Data Sheet

CONFIRMATION NO. 9819

SERIAL NUMBER 09/702,483	FILING DATE 10/31/2000 RULE	CLASS 713	GROUP ART UNIT 2131	ATTORNEY DOCKET NO. 191406-1010
------------------------------------	---	---------------------	-------------------------------	---

APPLICANTS

Daniel Guy Stephens JR., St. Peterburg, FL;
Edwin Powali, Tampa, FL;
Stephen Lombard, St. Petersburg, FL;

**** CONTINUING DATA *******

THIS APPLN CLAIMS BENEFIT OF 60/211,399 06/14/2000

**** FOREIGN APPLICATIONS *******

IF REQUIRED, FOREIGN FILING LICENSE
GRANTED ** 02/04/2001

Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no	STATE OR COUNTRY FL	SHEETS DRAWING 18	TOTAL CLAIMS 51	INDEPENDENT CLAIMS 4
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged	Examiner's Signature	Initials		

ADDRESS

24504

TITLE

System and method for secure management or remote systems

FILING FEE RECEIVED
1478

FEES: Authority has been given in Paper
No. _____ to charge/credit DEPOSIT ACCOUNT
No. _____ for following:

<input type="checkbox"/> All Fees
<input type="checkbox"/> 1.16 Fees (Filing)
<input type="checkbox"/> 1.17 Fees (Processing Ext. of time)
<input type="checkbox"/> 1.18 Fees (Issue)
<input type="checkbox"/> Other _____
<input type="checkbox"/> Credit